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MM  
GE Power

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/532,393	Markus BECKER	6097P063

INTERNATIONAL APPLICATION NO.

PCT/EP03/10470

I.A. FILING DATE

PRIORITY DATE

09/19/2003

26529

BLAKELY SOKOLOFF TAYLOR & ZAFMAN/PDC  
12400 WILSHIRE BOULEVARD  
SEVENTH FLOOR  
LOS ANGELES, CA 90025

RECEIVED

JUN 05 2006

CONFIRMATION NO. 1587

371 FORMALITIES LETTER



\*OC000000019008938\*

Date Mailed: 06/01/2006

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
LOS ANGELES

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/21/2005
- Copy of the International Search Report filed on 04/21/2005
- Preliminary Amendments filed on 04/21/2005
- U.S. Basic National Fees filed on 04/21/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ENTERED

JUN - 5 2006

JUS DB-LA

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/532,393	PCT/EP03/10470	6097P063

FORM PCT/DO/EO/905 (371 Formalities Notice)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Markus Becker et al

Serial No.: 10/532,393

Filed: 04/21/2005

For: BEARING HOUSING

Examiner: Unassigned

Art Unit: Unassigned

Confirmation No.: 1587

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**DECLARATION**

Dear Sir:

I, Michael J. Mallie, having personal knowledge of the facts set forth herein, hereby declare and say as follows:

1. On June 9, 2006, I sent the declaration to GE Counsel to be signed by the inventors. Documentation of this email is attached (Exhibit 1).
2. On July 13, 2006, in response to the email sent on June 9, 2006, GE counsel sent an email, copying me on the email, with the declaration attached to be signed by the inventors, including Vincent Schellings, Peter Gauchel, Nicolas Delucis, requesting that a copy of the executed declaration be emailed or faxed to GE counsel, and that the original documents be sent to GE Counsel. No response to this email has been received from Nicolas Delucis. Documentation of this email is attached (Exhibit 2).
3. On July 25, 2006, in response to the email sent on June 9, 2006, GE counsel sent another email, copying me on the email, with the declaration attached to be signed by the inventors, including Nicolas Delucis, Markus Becker, Peter Gauchel, requesting that the inventors read and sign the declaration, and indicating that GE counsel had received the signed declaration from Vincent Schellings. No response to this email has been received from Nicolas Delucis. Documentation of this email is attached. (Exhibit 2).

4. On July 31, 2006, in response to the email sent on July 25, 2006, I received an email from Markus Becker with an attachment of the signed declaration by Markus Becker and Peter Gauchel. Documentation of this email is attached (Exhibit 2). However, there was no response to this email from Nicolas Delucis.
5. On August 1, 2006, in response to the email received on July 31, GE counsel sent another email to Nicolas Delucis, copying me on the email, with the declaration attached to be signed by Nicolas Delucis, requesting that the inventor sign the declaration and send a copy to GE counsel. On August 7, 2006, in response to the email sent on August 1, 2006, GE counsel sent me an email indicating that they had not received the executed declaration from the inventor Nicolas Delucis. Documentation of this email is attached (Exhibit 3).
6. On October 2, 2006, I sent an email to GE counsel, requesting the status on whether they had received the executed declaration from the inventor Nicolas Delucis. On October 2, 2006, in response to the email sent on October 2, 2006, I received an email from GE counsel indicating that GE counsel had sent a reminder on August 8, 2006, and had not received a response from him. The email also indicated that he has left GE, and his email was not longer listed on the global email list of GE, and that GE did not have another email address for him. Documentation of these emails are attached (Exhibit 4).
7. On October 30, 2006, I received an email from GE counsel, indicating that Human Resources of GE provided the current address of the inventor Nicolas Delucis, as follows, and requesting that we send a letter to his address requesting to sign the declaration:

Nicolas Delucis  
Augustastr. 59-61  
D-48153 Münster

GE Counsel also indicated in the email that it appears there is no other way to reach the inventor Nicolas Delucis. Documentation of this email is attached (Exhibit 5).
8. On October 30, 2006, in response to the email received from GE counsel on October 30, 2006, I sent a letter to Nicolas Delucis at the following address,

enclosing the declaration for his signature. Also enclosed with the letter, was a Federal Express envelope for him to return the signed documents to me.

Nicolas Delucis  
Augustastr. 59-61  
D-48153 Münster  
DE

No response to this delivery has been received. Documentation of this delivery is attached (Exhibit 6).

9. On November 8, 2006, I received a phone call from Federal Express, indicating that Nicolas Delucis have moved from that address and there is no forwarding information. An email documenting the call from Federal Express was sent to the Patricia Yepez-Rutheny of GE on November 8, 2006. Documentation of this email is attached (Exhibit 7).
10. On February 2, 2007, in response to learning that Patricia Yepez-Rutheny had been out on maternity leave and unable to help in obtaining the signed documents, I sent an email to Jim McGinness at GE, to obtain the status of the signed declaration from Nicolas Delucis and to verify his address. Documentation of this email is attached (Exhibit 8).
11. On February 7, 2007, in response to the email I sent on February 2, 2007, I received an email from Sandra GroB-Thedieck of Human Resources, indicating that address on file for Nicolas Delucis is as follows, and indicated that the address is the only address they have on file and that the inventors may have relocated in the meantime:

Nicolas Delucis  
Augustastr. 59-61  
D-48153 Münster  
DE

Documentation of this email is attached (Exhibit 8).

12. On February 7, 2007, in response to the email received on February 7, 2007, I sent an email to GE counsel, indicating that I had already sent the declaration to Nicolas in November 2006 at the provided address and that Federal Express had informed us that he was no longer residing at this address and that there was no


forwarding information. I also indicated in the email that we had sent an email to Patricia Yepez-Rutheny describing the above actions and that we had not received any response from Patricia. Documentation of this email is attached (Exhibit 8).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on the information and belief are believed to be true; and further that all these statements were made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Dated: 6/4/07

  
\_\_\_\_\_  
Michael J. Mallie  
Reg. No. 36,591

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1030  
(408) 720-8300

## EXHIBIT 1

**Joyce Klein**

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**From:** Joyce Klein  
**Sent:** Friday, June 09, 2006 12:06 PM  
**To:** 'Yepez-Rutheny, Patricia (GE, Corporate)'  
**Subject:** GE P063 (140864)



Hi Patty,

Attached is the declaration and assignment to be signed by the inventors. The missing parts are due 8/1/2006.

I am sending the documents in Word version just in case you need to make changes to it.

Thank you,

Joyce Klein  
Assistant to Michael Mallie

6/9/2006



## EXHIBIT 2

**Joyce Klein**

**From:** Yepez-Rutheny, Patricia (GE, Corporate) [Patricia.Yepez-Rutheny@corporate.ge.com]  
**Sent:** Tuesday, August 01, 2006 7:38 AM  
**To:** Joyce Klein  
**Cc:** Delucis, Nicolas (GE Infra, Energy); Meyer, Dietmar (GE Infra, Energy)  
**Subject:** FW: GE P063 (140864) US documents due 1 August 2006 (URGENT)

Joyce:

Attached are the Declaration and Assignment signed by the inventors Peter Gauchel and Markus Becker.

Nicolas: We have not received the executed Assignment and Declaration documents from you. Please sign these documents and send a copy of the executed papers to my e-mail address [Patricia.Yepez-Rutheny@ge.com](mailto:Patricia.Yepez-Rutheny@ge.com) as soon as possible. If you have any questions please let me know. Thanks.

Regards,

**Patricia Yepez-Rutheny**  
 GE Global Patent Operation  
 Paralegal

T 203-761-1986  
 F 203-761-1924  
[GPO.MAIL@ge.com](mailto:GPO.MAIL@ge.com)

187 Danbury Road, Suite 204  
 Wilton, Connecticut 06897  
 USA

-----Original Message-----

**From:** Markus Becker [mailto:[markus.becker@rsbconsult.com](mailto:markus.becker@rsbconsult.com)]  
**Sent:** Monday, July 31, 2006 11:20 AM  
**To:** Yepez-Rutheny, Patricia (GE, Corporate); Delucis, Nicolas (GE Infra, Energy); [peter.gauchel@rsbconsult.com](mailto:peter.gauchel@rsbconsult.com)  
**Subject:** AW: GE P063 (140864) US documents due 1 August 2006 (URGENT)

Dear Patricia,

please find attached Peter's and mine signed exemplars. Please come back to me if there are any questions.

Best Regards  
 Markus Becker

\*\*\*\*\*

*RSBconsult GmbH*  
*Engelstr. 68*  
*48143 Münster*  
*Tel.: +49 (0) 251 210 99 13*  
*Fax.: +49 (0) 251 210 99 23*  
*Mobile: +49 (0) 173 9932975*  
[markus.becker@rsbconsult.com](mailto:markus.becker@rsbconsult.com)  
[www.rsbconsult.com](http://www.rsbconsult.com)

8/1/2006



-----Ursprüngliche Nachricht-----

**Von:** Yepez-Rutheny, Patricia (GE, Corporate) [mailto:Patricia.Yepez-Rutheny@corporate.ge.com]

**Gesendet:** Dienstag, 25. Juli 2006 20:22

**An:** Delucis, Nicolas (GE Infra, Energy); markus.becker@rsbconsult.com; peter.gauchel@rsbconsult.com

**Betreff:** RE: GE P063 (140864) US documents due 1 August 2006 (URGENT)

**Wichtigkeit:** Hoch

Dear Nicolas, Markus, Peter:

Please read the attached documents and sign the Assignment and Declaration as soon as possible. The declaration needs to be executed by two witnesses in addition to your signatures.

I would appreciate your help signing these documents soon, the missing parts is due on **August 1, 2006**. If you have any questions please let me know. I have already received the documents signed by Vincent Schellings.

Regards and thanks,

Patricia

**Patricia Yepez-Rutheny**  
GE Global Patent Operation  
Paralegal

T 203-761-1986  
F 203-761-1924  
GPO.MAIL@ge.com

187 Danbury Road, Suite 204  
Wilton, Connecticut 06897  
USA

-----Original Message-----

**From:** Yepez-Rutheny, Patricia (GE, Corporate)

**Sent:** Thursday, July 13, 2006 3:36 PM

**To:** Schellings, Vincent (GE Infra, Energy); Gauchel, Peter (GE Infra, Energy, Non-GE); Delucis, Nicolas (GE Infra, Energy)

**Subject:** FW: GE P063 (140864) US documents

**Importance:** High

Dear inventors:

Please read the attached files, sign the Assignment and Declaration, and send me a copy of the executed documents to my e-mail address or fax number (203)761-1924; and please mail the original documents to my address indicated below by **July 24, 2006**.

Please note the assignment also needs to be executed by two witnesses.

**I don't have the e-mail address of Markus Becker, would you please forward this e-mail to him?**

Regards,

8/1/2006

**Patricia Yepez-Rutheny**  
GE Global Patent Operation  
Paralegal

T 203-761-1986  
F 203-761-1924  
GPO.MAIL@ge.com

187 Danbury Road, Suite 204  
Wilton, Connecticut 06897  
USA

## EXHIBIT 3

**Joyce Klein**

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**From:** Yepez-Rutheny, Patricia (GE, Corporate) [Patricia.Yepez-Rutheny@corporate.ge.com]  
**Sent:** Monday, August 07, 2006 2:35 PM  
**To:** Joyce Klein  
**Subject:** RE: GE P063 (140864) US documents due 1 August 2006 (URGENT)

Joyce, I did not receive the executed documents from the inventor Nicolas Delucis. Can you request more extensions? When is the final deadline?

Thanks,

Patricia

-----Original Message-----

**From:** Yepez-Rutheny, Patricia (GE, Corporate)  
**Sent:** Tuesday, August 01, 2006 10:38 AM  
**To:** 'Joyce\_Klein@bstz.com'  
**Cc:** Delucis, Nicolas (GE Infra, Energy); Meyer, Dietmar (GE Infra, Energy)  
**Subject:** FW: GE P063 (140864) US documents due 1 August 2006 (URGENT)

Joyce:

Attached are the Declaration and Assignment signed by the inventors Peter Gauchel and Markus Becker.

Nicolas: We have not received the executed Assignment and Declaration documents from you. Please sign these documents and send a copy of the executed papers to my e-mail address [Patricia.Yepez-Rutheny@ge.com](mailto:Patricia.Yepez-Rutheny@ge.com) as soon as possible. If you have any questions please let me know. Thanks.

Regards,

**Patricia Yepez-Rutheny**  
GE Global Patent Operation  
Paralegal

T 203-761-1986  
F 203-761-1924  
[GPO.MAIL@ge.com](mailto:GPO.MAIL@ge.com)

187 Danbury Road, Suite 204  
Wilton, Connecticut 06897  
USA

-----Original Message-----

**From:** Markus Becker [mailto:[markus.becker@rsbconsult.com](mailto:markus.becker@rsbconsult.com)]  
**Sent:** Monday, July 31, 2006 11:20 AM  
**To:** Yepez-Rutheny, Patricia (GE, Corporate); Delucis, Nicolas (GE Infra, Energy); [peter.gauchel@rsbconsult.com](mailto:peter.gauchel@rsbconsult.com)  
**Subject:** AW: GE P063 (140864) US documents due 1 August 2006 (URGENT)

Dear Patricia,

8/7/2006

EXHIBIT 4

**Joyce Klein**

---

**From:** Joyce Klein  
**Sent:** Monday, October 02, 2006 9:57 AM  
**To:** 'Yepez-Rutheny, Patricia (GE, Corporate)'  
**Subject:** GE P063 (140864)

Hi Patty,

Just checking in with you regarding the docs from Nicholas Delucis. The two month extension deadline is today.

Thank you,

Joyce Klein  
Assistant to Michael Mallie

10/2/2006

**Joyce Klein**

---

**From:** Yepez-Rutheny, Patricia (GE, Corporate) [Patricia.Yepez-Rutheny@corporate.ge.com]  
**Sent:** Monday, October 02, 2006 11:19 AM  
**To:** Joyce Klein  
**Cc:** Hoffmann, Philip J (GE, Corporate)  
**Subject:** RE: GE P063 (140864)

Joyce:

I sent him a reminder on August 8 and haven't received a response from him. Apparently he has left GE, his e-mail address is not listed in our global list anymore and I don't have another e-mail address for him. I am copying the GPO attorney for his information.

Phil: This is a 46WD case, the US national phase application was filed by Blakely & Sokoloff. The Assignment and Declaration have been signed by all the inventors except for Nicolas Delucis.

Regards,

**Patricia Yepez-Rutheny**  
GE Global Patent Operation  
Paralegal

---

**From:** Joyce Klein [mailto:Joyce\_Klein@bstz.com]  
**Sent:** Monday, October 02, 2006 12:57 PM  
**To:** Yepez-Rutheny, Patricia (GE, Corporate)  
**Subject:** GE P063 (140864)

Hi Patty,

Just checking in with you regarding the docs from Nicholas Delucis. The two month extension deadline is today.

Thank you,

Joyce Klein  
Assistant to Michael Mallie

10/2/2006

EXHIBIT 5

**Joyce Klein**

**From:** Yepez-Rutheny, Patricia (GE, Corporate) [Patricia.Yepez-Rutheny@corporate.ge.com]  
**Sent:** Monday, October 30, 2006 12:13 PM  
**To:** Joyce Klein  
**Subject:** GE P063 (140864) - Missing Parts

Hello Joyce,

HR provided the current address of the inventor Nicolas Delucis:

Nicolas Delucis  
Augustastr. 59-61  
D-48153 Münster

Please send a letter to his address requesting to sign the documents as it appears there is no other way to reach him. Thanks.

Regards,

**Patricia Yepez-Rutheny**  
GE Global Patent Operation  
Paralegal

T 203-761-1986  
F 203-761-1924  
GPO.MAIL@ge.com

187 Danbury Road, Suite 204  
Wilton, Connecticut 06897  
USA

10/30/2006

# BLAKELY SOKOLOFF TAYLOR & ZAFMAN

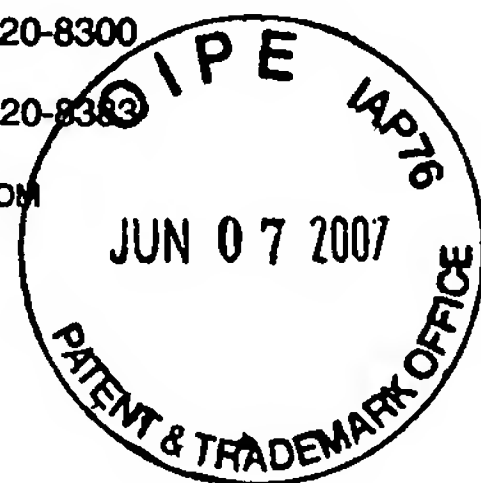
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TELEPHONE (408) 720-8300

FACSIMILE (408) 720-8383

BSTZ\_MAIL@BSTZ.COM

WWW.BSTZ.COM



INTELLECTUAL PROPERTY LAW

SILICON VALLEY

1279 OAKMEAD PARKWAY  
SUNNYVALE, CALIFORNIA 94085-4040

OTHER OFFICES

LOS ANGELES, CA  
ORANGE COUNTY/COSTA MESA, CA  
DENVER, CO  
PORTLAND/BEAVERTON, OR  
SEATTLE, WA

October 30, 2006

Nicolas Delucis  
Augustastr. 59-61  
D-48153 Münster

Re: General Electric Power Systems  
U.S. Patent Application No. 10/532,393  
Title: Bearing House  
Filed: April 21, 2005  
Our Reference Number: 006097.P063  
GE Reference Number: 140864

---

Dear Mr. Delucis:

Enclosed please find the Declaration/Power of Attorney and Assignment forms for your signature. Please return them to me via Federal Express (envelope enclosed) in order to file the forms with the U.S. Patent and Trademark Office (PTO).

If you have any questions or comments, please do not hesitate to contact me via email at michael\_mallie@bstz.com.

Respectfully,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Michael J. Mallie

MJM/kep  
Enclosures





Invoice Number	Invoice Date	Account Number	Page
8-010-34761	Nov 17, 2006	1109-3568-4	10 of 11

Tracking ID 792883696160 continued

Declared Value	USD 1.00		
Orig./Dest	COA/QHY		
Delivered	Nov 16, 2006 12:40		
Signed By	HOSOKAWA		
FedEx Use	X2715/US0512/_	Transportation Charge	13.00
Customs		Fuel Surcharge	1.63
Entry Date	Nov 15, 2006	Total Transportation Charges	

Dropped off: Nov 14, 2006  
Cust. Ref.: 08453.P098  
Ref. #3  
Payer: Shipper

- Fuel Surcharge - FedEx has applied a fuel surcharge of 12.50% to this shipment.
- FedEx has audited this airbill for correct pieces, weight, and service. Any changes made are reflected in the invoice amount.
- Discount applied is the greater of volume discount or dropoff discount. No additional discount applies.

Tracking ID	799537571892	Sender	Recipient
Service Type	FedEx Intl Priority	ANGELA QUINN	TADAHIKO ITOH
Package Type	FedEx Envelope	BLAKELY, SOKOLOFF, TAYLOR & ZA	ITOH INTERNATIONAL PATENT OFFICE
Zone	G	1279 OAKMEAD PARKWAY	32ND FL, YEBISU GARDEN PLACE TOWER
Packages	1	SUNNYVALE CA 94085 US	20-3 EBISU 4-CHOME, SHIBUYA-KU
Rated Weight	2.1 lbs		TOKYO 15060-32 JP
Declared Value	USD 1.00		
Orig./Dest	COA/QHY		
Delivered	Nov 16, 2006 12:40		
Signed By	HOSOKAWA	Transportation Charge	68.51
FedEx Use	X2715/US0010/_	Fuel Surcharge	5.22
Customs		Discount	-26.72
Entry Date	Nov 15, 2006	Total Transportation Charges	

Picked up: Nov 14, 2006  
Cust. Ref.: 81862.P284CN  
Ref. #3  
Payer: Shipper

- Fuel Surcharge - FedEx has applied a fuel surcharge of 12.50% to this shipment.

Tracking ID	838045448349	Sender	Recipient
Service Type	FedEx Intl Priority	SIE JP;PWAU	MR. ALEX WANG
Package Type	FedEx Envelope	BLAKELY SOKOLOFF TAYLOR ZAFMAN	EAST IP
Zone	N	1279 OAKMEAD PKWY	SUITE 1602, TOWER E2 THE TOWERS
Packages	1	SUNNYVALE CA 94085-4040 US	ORIENTAL PLAZA, NO. 1, EAST CHANG
Rated Weight	0.5 lbs		DONGCHENG DISTRICT, BEIJING 100 738 CN
Orig./Dest	COA/BPX		
Delivered	Nov 17, 2006 10:40		
Signed By	H.TIAN	Transportation Charge	19.00
FedEx Use	G211A/US0512/_	Fuel Surcharge	2.38
Customs		Total Transportation Charges	
Entry Date	Nov 15, 2006		USD \$21.39

Shipper Subtotal USD \$487.28

Dropped off: Nov 14, 2006  
Cust. Ref.: EX AWB 790104912758  
Ref. #3  
Payer: Recipient

- Fuel Surcharge - FedEx has applied a fuel surcharge of 12.00% to this shipment.
- Weather delay - Thunderstorm.
- Discount applied is the greater of volume discount or dropoff discount. No additional discount applies.

Tracking ID	682722579081	Sender	Recipient
Service Type	FedEx Intl Priority	FEDERAL EXPRESS	ANGELA QUINN
Package Type	FedEx Envelope	HUBERT-WOLLENBERG-STRASSE 11	BLAKELY SOKOLOFF TAYLOR ZAFMAN
Zone	A	RATINGEN 40878 DE	1279 OAKMEAD PKWY
Packages	1		SUNNY VALE CA 94086 US



Invoice Number	Invoice Date	Account Number	Page
8-010-34761	Nov 17, 2006	1109-3568-4	11 of 11

Tracking ID 862722579061 continued

Rated Weight	1.1 lbs			
Orig./Dest.	DUS/COA			
Delivered	Nov 15, 2006 10:47	Nov 14, 2006 - Conversion Rate EUR-USD 1.3071013820		
Signed By	M.BISTAN	Transportation Charge	EUR 45.50	59.47
FedEx Use	P3494/DE0010/_	Fuel Surcharge	EUR 3.33	4.35
Customs		Discount	EUR 17.75	-23.20
Entry Date	Nov 14, 2006	Total Transportation Charges	USD	\$40.62

Recipient Subtotal	USD	\$40.62
Total FedEx Express	USD	\$527.90





Invoice Number	Invoice Date	Account Number	Page
8-010-34761	Nov 17, 2006	1109-3568-4	4 of 11

### FedEx Express Shipment Detail By Payor Type (Original)

Dropped off: Oct 30, 2006

Cust. Ref.: 008097, P063

Ref. #2:

Payor: Shipper

Ref. #3:

- Fuel Surcharge - FedEx has applied a fuel surcharge of 16.50% to this shipment.
- Incorrect recipient address.

Tracking ID	790104912758	Sender	Recipient
Service Type	FedEx Intl Priority	ANGELA QUINN	NICOLAS DELUCIS
Package Type	FedEx Envelope	BLAKELY, SOKOLOFF, TAYLOR & ZA	AUGUSTASTR59-61
Zone	E	1279 OAKMEAD PARKWAY	MUNSTER 48153 DE
Packages	1	SUNNYVALE CA 94085 US	
Rated Weight	0.5 lbs		
Declared Value	USD 1.00		
Orig./Dest.	COA/ZOK		
FedEx Use	X4114/US0512/_	Transportation Charge	13.00
Customs		Fuel Surcharge	2.15
Entry Date	Oct 31, 2006	Total Transportation Charges	USD \$15.15

Picked up: Nov 07, 2006

Cust. Ref.: 04239P24429KR P24458

Ref. #2:

Payor: Shipper

Ref. #3:

- Fuel Surcharge - FedEx has applied a fuel surcharge of 12.50% to this shipment.

Tracking ID	855975424801	Sender	Recipient
Service Type	FedEx Intl Priority	DEBRA MILBOURNE	LEE INTERNATIONAL PATENT AND LAW
Package Type	FedEx Envelope	BLAKELY SOKOLOFF TAYLOR ZAFMAN	KUKDONG BUILDING CHUNG MURO
Zone	J	1279 OAKMEAD PKWY	KWANG HWA MOON
Packages	1	SUNNYVALE CA 94085-4040 US	SEOUL 110 KR
Rated Weight	0.5 lbs		
Orig./Dest.	COA/SEL		
Delivered	Nov 10, 2006 20:30		
Signed By	.CHA MI KYUNG		
FedEx Use	G6434/US0512/_	Transportation Charge	14.50
Customs		Fuel Surcharge	1.81
Entry Date	Nov 08, 2006	Total Transportation Charges	

Dropped off: Nov 08, 2006

Cust. Ref.: 142P18154XMY & 42P20845MY

Ref. #2:

Payor: Shipper

Ref. #3:

- Fuel Surcharge - FedEx has applied a fuel surcharge of 12.50% to this shipment.
- FedEx has audited this airbill for correct pieces, weight, and service. Any changes made are reflected in the invoice amount.

Tracking ID	790112356588	Sender	Recipient
Service Type	FedEx Intl Priority	JESSICA SAVAGE	MR. MORRIS, JOHN
Package Type	FedEx Pak	BSTZ	DREW & NAPIER LLC
Zone	F	1279 OAKMEAD PARKWAY	20 RAFFLES PLACE #17-00
Packages	1	SUNNYVALE CA 94085 US	OCEAN TOWERS
Rated Weight	0.9 lbs		SINGAPORE 048 620 SG
Orig./Dest.	COA/SIN		
Delivered	Nov 13, 2006 11:42		
Signed By	.LIZA		
FedEx Use	X2816/US0512/_	Transportation Charge	14.00
Customs		Fuel Surcharge	1.75
Entry Date	Nov 09, 2006	Total Transportation Charges	

## Kelly Patterson

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**From:** Tiffany Hampton  
**Sent:** Wednesday, February 14, 2007 2:15 PM  
**To:** Kelly Patterson  
**Subject:** RE: FedEx Tracking Number

Okay, so I know you said October, but just in case here's what I got for P063.

10/30/06 - \$15.15 to Nicolas Delucis - tracking # 790104912758

11/14/06 - \$40.62 from Hubert-Wollenberg-Strasse 11? (seems off, no name) to Angela Quinn  
- tracking # 662722579061

-----Original Message-----

**From:** Kelly Patterson  
**Sent:** Wednesday, February 14, 2007 2:03 PM  
**To:** Tiffany Hampton  
**Subject:** RE: FedEx Tracking Number

Thank you so much!!! You don't know how much I appreciate this. The matter number if P063.

Thanks again!!

-----Original Message-----

**From:** Tiffany Hampton  
**Sent:** Wednesday, February 14, 2007 2:01 PM  
**To:** Kelly Patterson  
**Subject:** RE: FedEx Tracking Number

I can definitely help. I'll grab the FedEx receipts (that I can find) from October. In the meantime, go ahead and send me the matter number.

-----Original Message-----

**From:** Kelly Patterson  
**Sent:** Wednesday, February 14, 2007 1:55 PM  
**To:** Tiffany Hampton  
**Subject:** FedEx Tracking Number

Hello Tiffany,

I am hoping you can help me. Are you in charge of charges associated with GE? I sent a FedEx package out for a GE matter in October but I need to have the tracking number. I always send a copy of the FedEx slip down to billing once I send out the package and I need a copy of the slip I sent.

Do you think you can help me? I would appreciate any assistance you can provide in this matter.

~Thanks,

Kelly Patterson  
Assistant to Michael J. Mallie  
Blakely, Sokoloff, Taylor & Zafman LLP  
kelly\_patterson@bstz.com  
408-720-8300

EXHIBIT 7

**Joyce Klein**

**From:** Joyce Klein  
**Sent:** Wednesday, November 08, 2006 11:18 AM  
**To:** 'Yepez-Rutheny, Patricia (GE, Corporate)'  
**Subject:** RE: GE P063 (140864) - Missing Parts

Patty,

Fed Ex just called and said that Nicolas has moved from that address and there is no forwarding information.

Joyce

**From:** Yepez-Rutheny, Patricia (GE, Corporate) [mailto:Patricia.Yepez-Rutheny@corporate.ge.com]  
**Sent:** Monday, October 30, 2006 12:13 PM  
**To:** Joyce Klein  
**Subject:** GE P063 (140864) - Missing Parts

Hello Joyce,

HR provided the current address of the inventor Nicolas Delucis:

Nicolas Delucis  
Augustastr. 59-61  
D-48153 Münster

Please send a letter to his address requesting to sign the documents as it appears there is no other way to reach him. Thanks.

Regards,

**Patricia Yepez-Rutheny**  
GE Global Patent Operation  
Paralegal

T 203-761-1986  
F 203-761-1924  
GPO.MAIL@ge.com

187 Danbury Road, Suite 204  
Wilton, Connecticut 06897  
USA

11/8/2006



## EXHIBIT 8

## Joyce Klein

**From:** McGinness, Jim E (GE Infra, Energy) [jim.mcginness@ge.com]  
**Sent:** Wednesday, February 07, 2007 5:53 AM  
**To:** Joyce Klein; Rieken, Stefan (GE Infra, Energy)  
**Cc:** Michael Mallie  
**Subject:** RE: 133330 Switching off wind turbine during helicopter approach

Joyce,  
Please correspond with the inventors with the addresses provided by Sandra Gross-Thedieck to get the signatures. If you have difficulty let us know and possibly Stefan may help if the inventors are not cooperating.  
Jim

-----Original Message-----

**From:** Gross-Thedieck, Sandra (GE Infra, Energy, Non-GE)  
**Sent:** Wednesday, February 07, 2007 8:20 AM  
**To:** McGinness, Jim E (GE Infra, Energy); 'Joyce Klein'; Altenschulte, Markus (GE Infra, Energy); Rieken, Stefan (GE Infra, Energy); Hoffmann, Philip J (GE, Corporate)  
**Cc:** 'Michael Mallie'  
**Subject:** RE: 133330 Switching off wind turbine during helicopter approach

done

**From:** McGinness, Jim E (GE Infra, Energy)  
**Sent:** Montag, 5. Februar 2007 16:25  
**To:** 'Joyce Klein'; Altenschulte, Markus (GE Infra, Energy); Gross-Thedieck, Sandra (GE Infra, Energy, Non-GE); Rieken, Stefan (GE Infra, Energy); Hoffmann, Philip J (GE, Corporate)  
**Cc:** Michael Mallie  
**Subject:** 133330 Switching off wind turbine during helicopter approach

Philip,  
Is someone filling the role for Patricia Yopez-Rutheny, to support obtaining signatures on missing parts?

Joyce,  
Markus Altenschulte is copied on this email, please send the documents directly to Markus for execution and return to you. Sandra should provide addresses for Andre Riesberg and Nicolas Delucis. Similarly, send docs to their provided addreses with instructions to sign and return to you.

Markus,  
please execute the document Joyce will send, it is necessary to continue prosecuting of your subject patent application in the US.

Sandra,  
Andre Riesberg and Nicolas Delucis apparently no longer with GE, please provide their addresses for Joyce Klein to mail them documents necessary for execution to maintain to prosecution of US patent applications.

Jim McGinness

-----Original Message-----

**From:** Joyce Klein [mailto:Joyce\_Klein@bstz.com]  
**Sent:** Friday, February 02, 2007 6:06 PM

**To:** McGinness, Jim E (GE Infra, Energy)  
**Cc:** Michael Mallie  
**Subject:** GE Missing Parts

Reference:

133330 (6097.P074)  
140864 (6097.P063)  
134645 (6097.P066)

Jim,

In the past, Patricia Yepez-Rutheny had been our contact in getting the documents out to the inventors for signature. Below are outstanding missing parts that we have not been able to complete and file to the Patent Office. We were just informed that Patricia has been out on maternity leave and unable to help us in achieving the signed documents. I have attached the documents for each of these cases for execution. Please let us know how you wish us to proceed.

**133330 (6097.P074) missing parts due 2/6/2007**

Documents need to be sent out to inventors Andre Riesberg and Markus Altenschulte, both in Germany

**140864 (6097.P063) missing parts due 8/1/2006 (missing signature from Nicolas Delucis)**

Several attempts have been made in locating Nicolas.

Original docs emailed 6/9/06

**134645 (6097.P066) missing parts due 2/27/2006 (missing signature from Luciano Bonciani)**

Corrected docs emailed 1/17/06

Thank you,

Joyce Klein  
Assistant to Michael Mallie

Thank you,

Joyce Klein  
Assistant to Michael Mallie